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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : CHRISTER O. ANDREASSON, ET AL.
Appl. No. : 10/085,472
Filing Date : 02/26/2002
Title : SYSTEMS AND METHODS FOR TRACKING PHARMACEUTICALS
WITHIN A FACILITY
Group Art Unit : 3762
Examiner : Unassigned
Docket No. : 706737.35 (263/291US)

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Mail Stop Patent Application
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in this Information Disclosure Statement ("IDS") are brought to the attention of the Office. The items are listed on the attached form PTO-1449 and copies are enclosed for the convenience of the Examiner.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicant is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicant as such.

CERTIFICATE OF MAILING (37 CFR §1.8)

I hereby certify, pursuant to 37 CFR §1.8, that I have reasonable basis to expect that that this paper or fee (along with any referred to as being attached or enclosed) would be mailed or transmitted on or before the date indicated with the United States Postal Service with sufficient postage as first class mail on the date shown below in an envelope addressed to Mail Stop Patent Application, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

Dated:

DOCSOC1:138991.1

Signature of Person Mailing Document

Applicant : CHRISTER O. ANDREASSON, ET AL.
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Examiner : Unassigned
Docket No. : 706737.35 (263/291US)

INFORMATION DISCLOSURE STATEMENT FILING PROVISION:

☒ This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), that is (1) within three months of the filing date of the application, which is not a continued prosecution application filed under § 1.53(d); or (2) within three months of entry of the national stage as set forth in 37 CFR § 1.491; or (3) before the mailing of a first Office action on the merits; or (4) before the mailing of a first Office action after filing a request for continued examination under § 1.114. Thus, no fee is required.

☒ However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and charge the fee due under 37 CFR § 1.17(p) to the deposit account referenced below.

☐ However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and a statement under 37 CFR § 1.97(e) is included below, thus no fee is required.

☐ This IDS is being submitted under 37 CFR § 1.97(c), that is after mailing of a first Office action on the merits, but before a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311.

☐ The fee due under 37 CFR § 1.17(p) is submitted herewith.

☐ A statement under 37 CFR § 1.97(e) is included below, thus no fee is required. In the event that this IDS is not received before a Final Action or a Notice of Allowance, then Applicant respectfully requests that the Office consider the filing of these papers to be submitted under 37 CFR § 1.97(d) and charge the fee due under 37 CFR § 1.17(p) to the deposit account below.

☐ This IDS is being submitted under 37 CFR § 1.97(d), that is after a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311, but before payment of the issue fee. A statement under 37 CFR § 1.97(e) is included below. The fee due under 37 CFR § 1.17(p) is submitted herewith.

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STATEMENT UNDER 37 CFR § 1.97(e):

- ☐ Each item contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS.
- ☐ No item contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this statement after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this IDS.

PAYMENT AND/OR AUTHORIZATION TO CHARGE FEES:

- ☐ A check in the amount of _____ is enclosed for the above fee(s).
- ☐ Please charge _____ to Deposit Account No. **15-0665** for the above fee(s).
- ☒ The Commissioner is authorized to charge any fees required by the filing of these papers, and to credit any overpayment to Orrick, Herrington & Sutcliffe's Deposit Account No. **15-0665**.

Respectfully submitted,

ORRICK, HERRINGTON & SUTCLIFFE LLP

Dated: July 3, 2003

By: 

Samuel B. Stone
Reg. No. 19,297

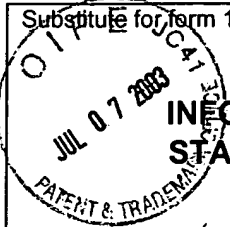
ORRICK, HERRINGTON & SUTCLIFFE LLP
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949/567-6710 Facsimile

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Substitute for form 1449A/PTO				Complete if Known	
 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	10/085,472
				Filing Date	02/26/2002
				First Named Inventor	Christer O. Andreasson
				Group Art Unit	3762
				Examiner Name	Unassigned
Sheet	1	of	3	Attorney Docket Number	706737.35 (263/291US)

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited Documents MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ²			
	1	2001/0028308	A1	De La Huerge	10/11/2001	
	2	2002/0023441	A1	Bara et al.	2/28/2002	
	3	2002/0026330	A1	Klein	2/28/2002	
	4	2002/0027507	A1	Yarin et al.	3/7/2002	
	5	2002/0038392	A1	De La Huerge	3/28/2002	
	6	2002/0067263	A1	Tafoya et al.	6/6/2002	
	7	2002/0067270	A1	Yarin et al.	6/6/2002	
	8	2002/0188259	A1	Hickle et al.	12/12/2002	
	9	4,164,320		Irazoqui et al.	8/14/1979	
	10	4,839,806		Goldfischer et al.	6/13/1989	
	11	4,853,521		Claeys et al.	8/1/1989	
	12	4,857,713		Brown	8/15/1989	
	13	4,857,716		Gombrich et al.	8/15/1989	
	14	5,078,683		Sancoff et al.	1/7/1992	
	15	5,104,374		Bishko et al.	4/14/1992	
	16	5,207,642		Orkin et al.	5/4/1993	
	17	5,317,506		Coutré et al.	5/31/1994	
	18	5,429,602		Hauser	7/4/1995	
	19	5,624,400		Firth et al.	4/29/1997	
	20	5,673,944		Walker et al.	10/7/1997	
	21	5,716,114		Holmes et al.	2/10/1998	
	22	5,739,508		Uber, III	4/14/1998	
	23	5,842,976		Williamson	12/1/1998	
	24	5,848,593		McGrady et al.	12/15/1998	
	25	5,852,590		de la Huerge	12/22/1998	
	26	5,865,470		Thompson	2/2/1999	
	27	5,882,338		Gray	3/16/1999	
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	30	5,940,306		Gardner et al.	8/17/1999	
	31	5,971,593		McGrady	10/26/1999	

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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.)). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent documents. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

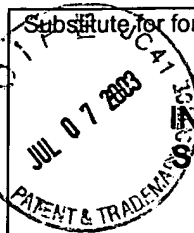
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				First Named Inventor	Christer O. Andreasson
				Group Art Unit	3762
				Examiner Name	Unassigned
Sheet	2	of	3	Attorney Docket Number	706737.35 (263/291US)

	32	5,973,600		Mosher, Jr.	10/26/1999	
	33	5,991,729		Barry et al.	11/23/1999	
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	36	6,021,392		Lester et al.	2/1/2000	
	37	6,032,155		de la Huerga	2/29/2000	
	38	6,055,506		Frasca, Jr.	4/25/2000	
	39	6,055,507		Cunningham	4/25/2000	
	40	6,065,819		Holmes et al.	5/23/2000	
	41	6,104,295		Gaisser et al.	8/15/2000	
	42	6,108,588		McGrady	8/22/2000	
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	44	6,110,152		Kovelman	8/29/2000	
	45	6,112,182		Akers et al.	8/29/2000	
	46	6,112,183		Swanson et al.	8/29/2000	
	47	6,123,686		Olsen et al.	9/26/2000	
	48	6,148,297		Swor et al.	11/14/2000	
	49	6,154,726		Rensimer et al.	11/28/2000	
	50	6,161,095		Brown	12/12/2000	
	51	6,171,283		Perez et al.	1/9/2001	
	52	6,219,587		Ahlin et al.	4/17/2001	
	53	6,221,051		Hjertman et al.	4/24/2001	
	54	6,256,967		Hebron et al.	7/10/2001	
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	56	6,355,024		Small et al.	3/12/2002	
	57	6,366,206		Ishikawa et al.	4/2/2002	

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FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publications of Cited Documents MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵				
	58	GB	2309801	A	Rogers	8/6/1997		
	59	WO	96/05522		Rosenfeld et al.	2/22/1996		
	60	WO	98/21124		Bellum et al.	5/22/1998		

Examiner Signature	Date Considered
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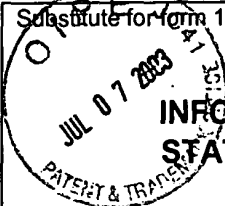
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.)). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent documents. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Sheet	3	of	3	Attorney Docket Number	706737.35 (263/291US)

	61	WO	99/10829		Sweeney et al.	3/4/1999		
	62	WO	99/65548		Hirschman	12/23/1999		
	63	WO	00/04480		Jones	1/27/2000		
	64	WO	00/04521		O'Brien	1/27/2000		
	65	WO	00/62221		Harvey et al.	10/19/2000		
	66	WO	01/49343		Hogan	7/12/2001		
	67	WO	02/21425	A2	Abrams	3/14/2002		
	68	WO	02/43573		Suddath et al.	6/6/2002		
	69	WO	02/095675	A1	Hickle et al.	11/28/2002		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

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